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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

vs.

JSW FINANCIAL INC., JAMES S. WARD,
DAVID S. LEE, EDWARD G. LOCKER,
RICHARD F. TIPTON, and DAVID C. LIN,

Defendants,

and

BLUE CHIP REALTY FUND LLC and
SHORELINE INVESTMENT FUND, LLC,

Relief Defendants.

Case No. 3:11-cv-01356 WHA

**NOTICE OF VOLUNTARY DISMISSAL
OF DEFENDANT JSW FINANCIAL, INC.**

1 Pursuant to Rule 41(a)(1) of the Federal Rules of Civil Procedure, plaintiff Securities
2 and Exchange Commission ("Commission") hereby voluntarily dismisses its action against
3 defendant JSW Financial, Inc.

4 The Commission brought the above-titled action on March 22, 2011. On July 6, 2011,
5 Defendant JSW Financial filed a notice of bankruptcy and requested the Court to enter an
6 automatic stay. The Court entered the requested stay on July 7, 2011. The bankruptcy
7 proceedings were terminated in 2015. Defendant JSW Financial, which ceased operations in
8 2008, emerged with no assets and appears to be a defunct entity.

9 Rule 41(a)(1) permits a plaintiff to dismiss claims voluntarily where, as here, the
10 opposing party has not filed an answer or a motion for summary judgment. In light of
11 defendant's economic status as a defunct entity, the Commission hereby dismisses its claims
12 against defendant JSW Financial, Inc. pursuant to Rule 41(a)(1).

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14 Respectfully submitted,

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16 Dated: January 20, 2016

/s/ Robert L. Tashjian

Robert L. Tashjian

17 Attorney for Plaintiff
18 SECURITIES AND EXCHANGE
19 COMMISSION
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CERTIFICATE OF SERVICE

I, Eric Pease, am a citizen of the United States, over 18 years of age, and am not a party or counsel to a party in this action. I hereby certify that on January 20, 2016, I served true and correct copies of the following document:

NOTICE OF VOLUNTARY DISMISSAL OF DEFENDANT JSW FINANCIAL, INC.

I served true and correct copies via **USPS FIRST CLASS MAIL**, addressed to the following:

James S. Ward, Register #16013-111
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I declare under penalty of perjury that the foregoing is true and correct.
Executed at San Francisco, California on January 20, 2016.


Eric Pease